

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVINE

) Examiner: not yet assigned

Serial No.: 09/841,631

) Group Art Unit: 2163

Filing Date: April 24, 2001

) Attorney Docket No.: I03.001

For: SYSTEMS AND METHODS TO
 FACILITATE A DISPLAY OF
 INVESTMENT INFORMATION
 ASSOCIATED WITH A PLURALITY OF
 SHARE PRICES

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Commissioner for Patents
 Washington, D.C. 20231

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Sir:

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

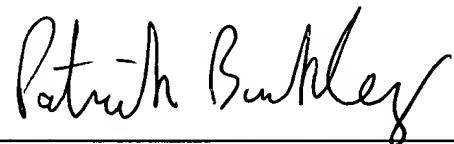
This information disclosure statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed before the mailing date of first office action on the merits.

Moreover, the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of this statement.

If any fee is due in connection with this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-1852.

Respectfully submitted,



February 5, 2003

Date

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BT

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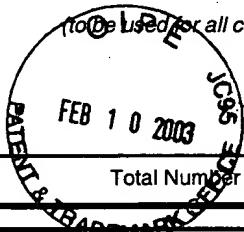
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TRANSMITTAL FORM



TRANSMITTAL FORM		Application Number	09/841,631
		Filing Date	April 24, 2001
		First Named Inventor	LEVINE
		Group Art Unit	2163
		Examiner Name	not yet assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	I03.001

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): copies of cited references, acknowledgement postcard
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	total number of pages in this submission does not include copies of cited references	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Patrick J. Buckley
Signature	
Date	February 5, 2003

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